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(71) Applicant: QUALCOMM INCORPORATED [US/US]; 5775 Morehouse Drive, San Diego, CA 92121 (US).

(72) Inventors: VASSILOVSKI, Dan; 715 Stratford Court, Del Mar, CA 92014 (US). MARSHALL, Maria, I.; 2286 Fuerte Street, Oceanside, CA 92054 (US).

(74) Agents: WADSWORTH, Philip R. et al.; Qualcomm Incorporated, 5775 Morehouse Drive, San Diego, CA 92121 (US).

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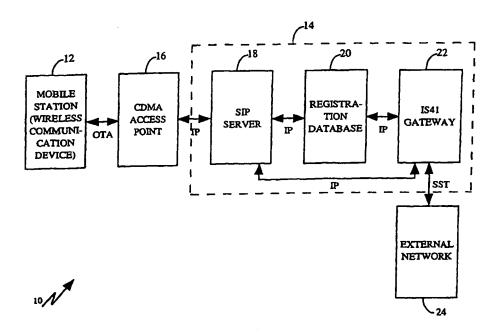
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(54) Title: SYSTEM AND METHOD FOR MOBILE STATION AUTHENTICATION USING SESSION INITIATION PROTO-COL (SIP)



(57) Abstract: A system and method for transmitting CDMA call set-up parameters including authentication parameters through an IP-based infrastructure to an authenticating entity. A base station encapsulates, in SIP messages, CDMA data from wireless communication devices, with the headers of the messages indicating the presence of the CDMA-specific data in the message body. The SIP messages are routed through the infrastructure to an IS41 gateway, which strips the CDMA-specific data from the SIP messages and sends the necessary data to the authenticating entity for setting up a CDMA call.



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

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# SYSTEM AND METHOD FOR MOBILE STATION AUTHENTICATION USING SESSION INITIATION PROTOCOL (SIP)

#### I. Field of the Invention

The present invention relates generally to enabling a wireless telephone that is not required or generally configured to support voice over Internet Protocols (VOIP) to nonetheless authenticate itself using wireless telephone infrastructure that uses IP structures or architectures, with IP-based communication between the wireless telephone infrastructure and any VOIP-based infrastructure being supported.

## II. Background of the Invention

Wireless telephones, such as but not limited to wireless telephones that communicate using Code Division Multiple Access (CDMA) spread spectrum modulation techniques, communicate over the air with system infrastructure using wireless telephone over-the-air communication protocols, e.g., the CDMA protocols known as IS-95A, IS-95B, and IS-2000. The system infrastructure, which can include base stations (BTS), base station controllers (BSC), and other components, connects the wireless telephone to another communication device, such as a through land line or another wireless communication system.

In the case of CDMA, voice data is sent over the air in packets that are collected by the infrastructure and assembled into a voice stream, transparently to the speakers who are talking to each other. As might be expected, the over-the-air protocol is tailored to optimize wireless communication. For instance, to maximize over-the-air capacity, the over-the-air protocol contains a minimum of signalling information, and the size of a voice data packet is relatively small.

With the growth of the Internet, computer-to-computer communication using Internet Protocols (IP) has become ubiquitous. Furthermore, it has become desirable not only to facilitate computer data communication using IP, but to facilitate voice communication using IP as well. As but one advantage afforded by using IP in a telephony infrastructure, much hardware such as switches can be eliminated, and existing computers and software can be used instead, reducing cost. To this end, so-called voice over IP (VOIP) has been introduced.

[0005] To support VOIP, a communication device must have, among other requirements, IP capability, i.e., the device must itself be able to communicate using IP, and it must have an IP address.

The present invention critically observes, however, that requiring a wireless telephone to use VOIP diminishes over-the-air capacity because VOIP is not necessarily designed to maximize such capacity. Instead, VOIP accounts for design considerations that are not necessarily related to wireless telephony. As an example, the data packet size of VOIP is relatively large, compared to the packet size used throughout the wireless communication industry such as in wireless telephones using over-the-air protocols such as IS-95. Indeed, a typical packet size in the IS-95 protocol is less than the size of a single packet header employed in a typical IP. Moreover, configuring a wireless telephone to communicate using both IP and over-the-air protocols complicates telephone design, adversely strains available resources (e.g., power, computing cycles, coding, and so on), and increases costs.

Nonetheless, the present invention understands that it would be desirable to enable wireless telephone communication using an infrastructure that transmits data in accordance with IP principles. The present invention further understands, however, that in the case of CDMA, CDMA parameters are required to be transmitted through the IP infrastructure so that authentication, in addition to VOIP call setup procedures, can be undertaken. Such authentication can require authentication with non-IP entities that instead of IP use, for example, IS41 messages over an SS7 link. With the above considerations in mind, the present invention provides the solutions disclosed below.

#### SUMMARY OF THE INVENTION

A voice over Internet (VOIP) system includes a CDMA access point (CAP) in communication with mobile stations (MS) using an over-the-air (OTA) protocol that is different from Internet protocol (IP). The CAP includes a logic component which transforms information in OTA protocol from the MS to IP protocol, and which also encapsulates CDMA authentication parameters in IP. The information in IP is sent toward an IS41 gateway, which strips the authentication parameters and sends them to an authenticating entity in a format other than IP, such as IS41 protocol.

[0009] In a preferred embodiment, the CAP generates a session initiation protocol (SIP) message that contains a header indicating the presence of the authentication parameters in the

message. The CAP can be a base station (BTS), and the authenticating entity can be part of a network such as a wireless CDMA network. In any case, the header of the SIP message can include a CDMA function that is defined as a service that can be invited to SIP sessions, with the service including an SIP agent.

- [0010] In another non-limiting aspect, a method for communicating information in IP to a wireless device that does not support IP includes encapsulating CDMA call set-up parameters in an SIP message, and indicating in the SIP message that the CDMA call set-up parameters are present. The SIP message is transmitted through an IP infrastructure. As set forth further below, the CDMA call set-up parameters are stripped from the SIP message for use thereof in establishing communication between a mobile station (MS) and a called party.
- [0011] In still another non-limiting aspect, a communication system includes an IP-based infrastructure transmitting information relating to wireless voice transmissions. At least some of the information includes CDMA authentication data encapsulated in IP. An IS41 gateway receives the information and transmits the authentication data using a protocol different from IP.
- [0012] In yet another non-limiting aspect, a session initiation protocol (SIP) message structure includes a message body containing CDMA authentication parameters, and a header defining a media type corresponding to the CDMA authentication parameters.
- [0013] The details of the present invention, both as to its structure and operation, can best be understood in reference to the accompanying drawings, in which like reference numerals refer to like parts, and in which:

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

- [0014] Figure 1 is a block diagram of a presently preferred inventive wireless communication system; and
- [0015] Figure 2 is a flow chart of the logic for authenticating a mobile station using SIP-to-IS41 communication scheme.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

[0016] Referring initially to Figure 1, a system is shown, generally designated 10, for effecting communication between a mobile station (MS) 12, i.e., a wireless communication device that does not support voice over Internet Protocols (VOIP), and a telephony

infrastructure 14 that supports IP. By "does not support VOIP" or "does not support IP" is meant that the MS 12 either has no IP or VOIP capability, or that it has such capability but for improved performance uses a standard over the air (OTA) protocol such as a spread spectrum scheme like CDMA (which includes WCDMA, TD-SCDMA, cdma2000, and other spread spectrum protocols) or other wireless protocol such as but not limited to TDMA, UMTS, etc. to communicate with the infrastructure 14. In one non-limiting embodiment the MS 12 is a mobile telephone made by Kyocera, Samsung, or other manufacturer that uses Code Division Multiple Access (CDMA) principles and CDMA over-the-air (OTA) communication air interface protocols such as defined in but not limited to IS-95A, IS-95B, UCDMA, IS-2000, and others to communicate with the infrastructure 14.

For instance, the wireless communication systems to which the present invention can apply, in amplification to those noted above, include Personal Communications Service (PCS) and cellular systems, such as Analog Advanced Mobile Phone System (AMPS) and the following digital systems: CDMA, Time Division Multiple Access (TDMA), and hybrid systems that use both TDMA and CDMA technologies. A CDMA cellular system is described in the Telecommunications Industry Association/Electronic Industries Association (TIA/EIA) Standard IS-95. Combined AMPS and CDMA systems are described in TIA/EIA Standard IS-98. Other communications systems are described in the International Mobile Telecommunications System 2000/Universal Mobile Telecommunications Systems (IMT-2000/UM), standards covering what are referred to as wideband CDMA (WCDMA), cdma2000 (such as cdma2000 1x or 3x standards, for example) or TD-SCDMA.

The present invention applies to any MS 12. In general, wireless communication devices to which the present invention applies may include but are not limited to a wireless handset or telephone, a cellular phone, a data transceiver, or a paging and position determination receiver, and can be hand-held, or portable as in vehicle-mounted (including cars, trucks, boats, planes, trains), as desired. However, while wireless communication devices are generally viewed as being mobile, it is to be understood that the present invention can be applied to "fixed" units in some implementations. Also, the present invention applies to data modules or modems used to transfer voice and/or data information including digitized video information, and may communicate with other devices using wired or wireless links. Further, commands might be used to cause modems or modules to work in a predetermined coordinated or associated manner to transfer information over multiple communication

channels. Wireless communication devices are also sometimes referred to as user terminals, mobile stations, mobile units, subscriber units, mobile radios or radiotelephones, wireless units, or simply as "users" and "mobiles" in some communication systems.

[0019] As shown in Figure 1, the MS 12 communicates, using one or more of the above-mentioned systems, with at least one CDMA Access Point (CAP) 16. The CAP 16 preferably is a base station (BTS), but it can also be implemented by base station controller (BSC), mobile switching center (MSC), gateway to a satellite system, or other infrastructure component. In any case, the CAP 16 not only supports the necessary protocols and systems to communicate with the MS 12, but also supports IP and attendant protocols or stack of IP protocols, and accordingly communicates with a Session Initiation Protocol (SIP) server 18 using IP. The SIP server 18 can be a VOIP SIP server configured to function in accordance with VOIP principles known in the art for the communication of IP packets, with the understanding that the IP messages of the present invention contain CDMA-related data in accordance with the disclosure below.

[0020] In turn, the SIP server 18 communicates with a registration database 20 that lists mobile stations that are registered with the infrastructure 14. Both the SIP server 18 and the registration database 20 communicate with an IS41 gateway 22. As intended by the present invention and as more fully set forth below, the IS41 gateway 22 extracts the CDMA parameters from the IP packets and reformats IP as necessary to IS41 protocol that uses an SS7 carrier. Other protocols such as ISUP and indeed IP can be used. The reformatted data is then sent to an external network 24, e.g., another wireless communication network, a computer network, a satellite communication network, a public switch telephone network (PSTN), etc.

With the above architectural overview in mind, attention is now directed to Figure 2. It is to be understood that the present logic is executed on the architecture shown in Figure 1 in accordance with the flow charts discussed below. The flow charts herein illustrate the structure of the logic of the present invention as embodied in computer program software. Those skilled in the art will appreciate that the flow charts illustrate the structures of logic elements, such as computer program code elements or electronic logic circuits, that function according to this invention. Manifestly, the invention is practiced in its essential embodiment by a machine component that renders the logic elements in a form that instructs a digital

processing apparatus (that is, a computer, controller, processor, etc.) to perform a sequence of function steps corresponding to those shown.

[0022] In other words, the logic may be embodied by a computer program that is executed by processors within the above-described components as a series of computer- or control element-executable instructions. These instructions may reside, for example, in RAM or on a hard drive or optical drive, or the instructions may be stored on magnetic tape, electronic read-only memory, or other appropriate data storage device that can be dynamically changed or updated.

As shown in Figure 2, commencing at step 26, communication using an appropriate over-the-air (OTA) protocol is established between the MS 12 and the CAP 16, i.e., between the MS 12 and the virtual IP endpoint of the present invention. In one exemplary, non-limiting embodiment, the process at step 26 can include receiving a CDMA protocol origination message from the MS 12 in, for example, IS-95 protocol, and then in response essentially transforming the origination message to IP by sending an IP-based Session Initiation Protocol (SIP) message from the CAP 16 to the SIP server 18 at block 28.

[0024] Specifically, CDMA-specific call set-up parameters including authentication parameters are encapsulated in the SIP message by the CAP 16. In one non-limiting embodiment the SIP message is an SIP Register message, and the CDMA authentication parameters can include but are not limited to RANDC, AUTHR, and COUNT. The header of the SIP message indicates that the body of the message contains the CDMA parameters. In one non-limiting aspect, the header defines a new media type or CDMA function that indicates the CDMA-specific data in the message body. The CDMA function can be defined as a service that can be invited to SIP sessions. An agent can be associated with the service and be invoked when invited to an SIP session.

[0025] An illustrative, non-limiting example of an SIP message incorporating the principles set forth above is:

REGISTER sip:registrar@qc-pbx.com SIP/2.0

Via: SIP/2.0/UDP 10.11.12.12:5060

To: 8586512442 <sip:8586512442@CAP.qc-pbx.com>

From: 8586512442 <sip:8586512442@CAP.qc-pbx.com>

Call-ID: 12@10.11.12.13

Cseq: 1 REGISTER

7

Contact: sip:8586512442@CAP.qc-pbx.com

Proxy-Authorization: CDMA-IS41

RANDC: XXXX AUTHR: XXXX COUNT: XXXX

[0026] Moving to block 30, the SIP server 18 sends the SIP message and/or a location query to the database 20 to ascertain the location and/or IP address of the called party. In one non-limiting illustrative embodiment, an IP address that has been allocated to the receiving virtual IP endpoint (e.g., to the CAP closest to the called party, when the called party is another MS) is associated with the called party. This address is recorded in the infrastructure 14 in, e.g., table lookup form, as being the address of the called party. By "IP address" is meant an address useful in identifying intended recipients of IP packets. This can include a conventional IP address numeric string and/or an alpha-numeric address associated with the string. In one non-limiting example, the alpha-numeric address can be the telephone number of the called party with an IP identifier appended thereto, e.g., 5551212@qualcomm.com. More generally, the IP address can include a wireless device identification such as an electronic serial number.

[0027] In any case, the SIP server 18 and/or database 20 sends the SIP message to the IS41 gateway 22 at block 32. At block 34, the IS41 gateway strips away or otherwise accesses the CDMA-specific parameters including authentication parameters from the SIP message by reading the header of the message and thereby ascertaining that the parameters exist in the message.

Proceeding to block 36, the IS41 gateway 22 sends an IS41 protocol message over, e.g., an SS7 carrier to an authorization entity in the external network 24 or resident in the IS41 gateway 22. The IS41 message includes OTA parameters, e.g., the CDMA-specific call set-up parameters. Using the parameters the authorization entity grants (or not) authorization for the call. If authorization is not granted at decision diamond 38, the call set-up ends at state 40; otherwise, authorization granting parameters are sent to the IS41 gateway 22 at block 42, encapsulated in IS41/SS7.

[0029] At block 44 the IS41 gateway transforms the IS41 protocol data into IP by, e.g., encapsulating CDMA information in an SIP message. The SIP message is sent to the

database 20 and/or SIP server 18. Moving to block 46, the database 20 sends to the SIP server 18 a message acknowledging the query at block 30, such as but not limited to a location response message, and then, at block 48, the SIP server 18 responds to the CAP 16 message of block 28 by, e.g., sending an SIP "authorization granted" message to the CAP 16. The CAP 16 then sends OTA parameters, e.g., in an IS-95 message, to the MS 12, indicating that the registration request has been granted and that connectivity exists with the called party.

[0030] In addition to encapsulating the call set-up parameters in SIP messages, the CAP 16 then transforms subsequent OTA packets such as OTA voice packets from the MS 12 to IP. To make this transformation, the contents of the OTA voice packets are rearranged as appropriate to conform to IP packet requirements, and then addressed to the IP address of the recipient. Typically, since OTA voice packets are smaller than IP packets and frequently are smaller than the headers of IP packets, several OTA packets might be combined into a single IP packet, although this might not necessarily be the case particularly for latency intensive applications. The information in IP, which can represent voice, digital data, digitized image data, or other type of data is sent through the infrastructure 14 toward the recipient.

[0031] Likewise, IP packets representing information that originated at the recipient device move through the infrastructure 14 and are converted to OTA packets by the CAP 16. The OTA packets are sent to the MS 12. The transformation from IP to OTA protocol is the reverse of the process for converting OTA packets to IP packets, i.e., each IP packet might be separated into a set of smaller OTA packets as appropriate to conform to the OTA protocol used by the MS 12.

[0032] While the above disclosure focusses on a call set-up sequence, the principles advanced herein apply to the general situation wherein IP is transmitted between a CAP and an IS41 gateway, with the CAP executing OTA-to-IP translation and vice-versa and the IS41 gateway executing IS41-to-IP translation and vice-versa.

[0033] While the particular SYSTEM AND METHOD FOR MOBILE STATION AUTHENTICATION USING SESSION INITIATION PROTOCOL (SIP) as herein shown and described in detail is fully capable of attaining the above-described objects of the invention, it is to be understood that it is the presently preferred embodiment of the present invention and is thus representative of the subject matter which is broadly contemplated by the present invention, that the scope of the present invention fully encompasses other

embodiments which may become obvious to those skilled in the art, and that the scope of the present invention is accordingly to be limited by nothing other than the appended claims, in which reference to an element in the singular is not intended to mean "one and only one" unless explicitly so stated, but rather "one or more". All structural and functional equivalents to the elements of the above-described preferred embodiment that are known or later come to be known to those of ordinary skill in the art are expressly incorporated herein by reference and are intended to be encompassed by the present claims. Moreover, it is not necessary for a device or method to address each and every problem sought to be solved by the present invention, for it to be encompassed by the present claims. Furthermore, no element, component, or method step in the present disclosure is intended to be dedicated to the public regardless of whether the element, component, or method step is explicitly recited in the claims. No claim element herein is to be construed under the provisions of 35 U.S.C. §112, sixth paragraph, unless the element is expressly recited using the phrase "means for" or, in the case of a method claim, the element is recited as a "step" instead of an "act".

# WE CLAIM:

#### **CLAIMS**

1. A communication system, characterized in that the system comprises:

an Internet Protocol (IP) based infrastructure transmitting information relating to wireless voice transmissions, at least some of the information including non-IP authentication data encapsulated in IP; and

at least one gateway receiving the information and transmitting the authentication data using a protocol different from IP.

- 2. A system as in Claim 1, wherein the protocol different from IP is IS41.
- 3. A system as in any one of the preceding claims, wherein the authentication data is encapsulated in session initiation protocol (SIP) messages, each message comprising a header and wherein at least one header indicates the presence of the authentication data in the message.
  - 4. A system as in Claim 3, wherein the SIP message is an SIP Register message.
- 5. A system as in any one of Claim 3 or Claim 4 as dependent thereon, wherein the header includes at least one CDMA function defined as a service that can be invited to SIP sessions.
  - 6. A system as in Claim 5, wherein the service includes an SIP agent.
- 7. A system as in any one of the preceding claims, further comprising: at least one CDMA access point (CAP) communicating with one or more mobile stations (MS) using a wireless device over the air (OTA) protocol, the CAP comprising:

at least one logic component transforming information in wireless device protocol from an MS to IP protocol, the logic component encapsulating CDMA authentication data in IP and sending the information in IP toward the gateway.

- 8. A system as in Claim 7, wherein the CAP is a base station (BTS).
- 9. A system as in any one of Claim 7 or Claim 8 as dependent thereon, wherein the logic component converts OTA protocol packets to IP packets, and/or converts IP packets to OTA protocol packets.
- 10. A system as in Claim 7 or any one of claims 8-9 as dependent thereon, wherein the CDMA authentication data include at least one of: RANDC, AUTHR, and COUNT.
- 11. A system as in any one of the preceding claims, wherein the gateway sends the authentication data to an authenticating entity.
- 12. A system as in Claim 11, wherein the authenticating entity is part of a network.
- 13. An apparatus for communicating information in IP to a wireless device not supporting IP, characterized in that the apparatus comprises:

means for encapsulating CDMA call set-up parameters in at least one session initiation protocol (SIP) message;

means for indicating in the SIP message that the CDMA call set-up parameters are in the SIP message; and

means for transmitting the SIP message through an IP infrastructure.

- 14. An apparatus as in Claim 13, wherein the SIP message is an SIP Register message.
- 15. An apparatus as in any one of Claim 13 or Claim 14 as dependent thereon, wherein the parameters include at least one of: RANDC, AUTHR, and COUNT.

16. An IS-41 gateway, characterized in that the gateway comprises:
means for receiving session initiation protocol (SIP) messages, at least some of which

encapsulate CDMA authentication parameters;

means for stripping the CDMA authentication parameters from the SIP messages; and means for sending the parameters in IS-41 protocol toward an authenticating entity.

- 17. A gateway as in claim 16, further comprising means for converting over the air (OTA) protocol packets to IP packets, and/or means for converting IP packets to over the air (OTA) protocol packets.
- 18. A method for communicating information in Internet Protocol (IP), wherein the information is from a wireless device not supporting IP, characterized in that the method comprises:

encapsulating CDMA call set-up parameters in at least one session initiation protocol (SIP) message; and

indicating in the SIP message that the CDMA call set-up parameters are in the SIP message; .

transmitting the SIP message through an IP infrastructure.

- 19. A method as in Claim 18, further comprising: converting over the air (OTA) protocol packets to IP packets, and/or converting IP packets to OTA protocol packets.
- 20. A method as in any one of Claim 18 or Claim 19 as dependent thereon, wherein the SIP message is an SIP Register message.
- 21. A method as in Claim 18 or any one of claims 19-20 as dependent thereon, wherein the SIP message includes a header including at least one CDMA function defined as a service that can be invited to SIP sessions.
  - 22. A method as in Claim 21, wherein the service includes an SIP agent.

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23. A method for communicating information in Internet protocol (IP) to a wireless device not supporting IP, characterized in that the method comprises:

receiving a session initiation protocol (SIP) message encapsulating CDMA authentication parameters; and

stripping the CDMA call set-up parameters from the SIP message for use thereof in establishing communication between a mobile station (MS) and a called party.

- 24. A method as in Claim 23, further comprising sending the CDMA call set-up parameters in IS41 protocol to an authenticating entity after the stripping.
- 25. A session initiation protocol (SIP) message structure, characterized in that the SIP message structure comprises:

at least one message body containing CDMA authentication parameters; and at least one header defining a media type corresponding to the CDMA authentication parameters.

- 26. A SIP message structure as in Claim 25, wherein the message structure establishes a SIP Register message.
- 27. A SIP message structure as in any one of Claim 25 or Claim 26 as dependent thereon, wherein the CDMA authentication parameters include at least one of: RANDC, AUTHR, and COUNT.
- 28. A SIP message structure as in Claim 25 or any one of claims 26-27 as dependent thereon, wherein the header includes at least one CDMA function defined as a service that can be invited to SIP sessions.
- 29. A SIP message structure as in Claim 28, wherein the service includes an SIP agent.

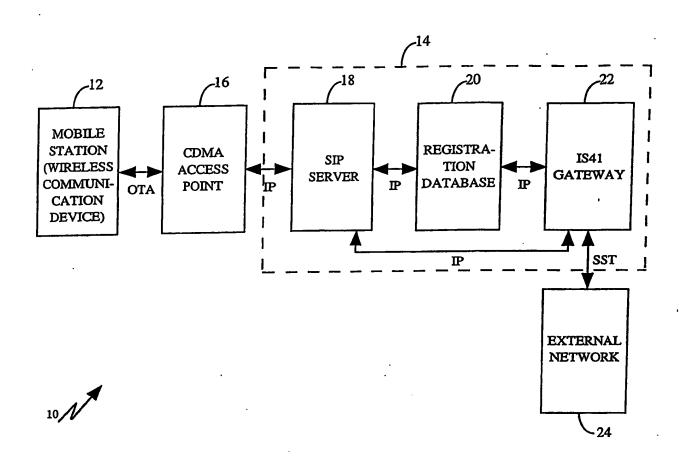


FIGURE 1

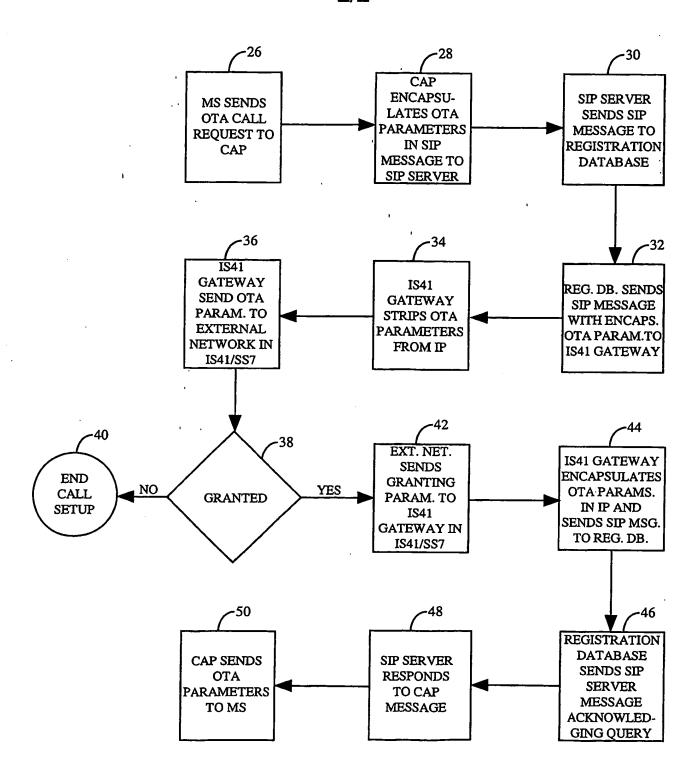


FIGURE 2